

## Review

# Caffeine as a psychomotor stimulant: mechanism of action

G. Fisone\*, A. Borgkvist and A. Usiello

Department of Neuroscience, Karolinska Institutet, Retzius väg 8, 171 77 Stockholm (Sweden), Fax: +46 8 320988, e-mail: gilberto.fisone@neuro.ki.se

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**Abstract.** The popularity of caffeine as a psychoactive drug is due to its stimulant properties, which depend on its ability to reduce adenosine transmission in the brain. Adenosine A<sub>1</sub> and A<sub>2A</sub> receptors are expressed in the basal ganglia, a group of structures involved in various aspects of motor control. Caffeine acts as an antagonist to both types of receptors. Increasing evidence indicates that the psychomotor stimulant effect of caffeine is generated by affecting a particular group of projection neurons located

in the striatum, the main receiving area of the basal ganglia. These cells express high levels of adenosine A<sub>2A</sub> receptors, which are involved in various intracellular processes, including the expression of immediate early genes and regulation of the dopamine- and cyclic AMP-regulated 32-kDa phosphoprotein DARPP-32. The present review focuses on the effects of caffeine on striatal signal transduction and on their involvement in caffeine-mediated motor stimulation.

**Key words.** Basal ganglia; adenosine; adenosine A<sub>2A</sub> receptors; immediate early gene; dopamine; dopamine- and cAMP-regulated phosphoprotein of 32 kDa; motor activity; Parkinson's disease.

## Introduction

The methylxanthine caffeine is the world's most popular psychoactive drug. The reason for this popularity, which crosses age and cultural boundaries, lies in the psychostimulant properties of caffeine, combined with the absence of substantial or clearly documented negative side effects. Caffeine is contained in coffee, tea, soft drinks and chocolate. In addition, common over-the-counter drugs such as aspirin and appetite suppressants are often combined with caffeine. Upon ingestion, caffeine is efficiently absorbed from the gastrointestinal tract and, because of its hydrophobic properties, rapidly distributed in the organism. Overall, the psychostimulant properties of caffeine are due to its ability to interact with neurotransmission in different regions of the brain, thereby promoting behavioral functions, such as vigilance, attention, mood and arousal. These various responses are often interdependent,

and therefore difficult to assess individually, and sometimes even poorly defined. Among the behavioral effects produced by caffeine, the ability to enhance motor activity has received a great deal of attention. Motor activity can be easily measured and is controlled by relatively well characterized cerebral circuits. For these reasons, changes in locomotion often represent the behavioral output of choice utilized in the quantification of the stimulant properties of caffeine, as well as in the study of its mechanism of action. Caffeine-mediated changes in motor activity are attributable to the ability of this drug to affect neurotransmission within the basal ganglia, a group of subcortical nuclei involved in various aspects of motor control. The present review discusses the molecular mechanisms underlying the psychomotor stimulant properties of caffeine, with special reference to the action of this drug in the basal ganglia. The therapeutic significance of caffeine-based therapies in Parkinson's disease, a frequent neurodegenerative illness affecting basal ganglia neurotransmission and motor function, is also discussed.

\* Corresponding author.

## Molecular targets for the physiological action of caffeine in the brain

Methylxanthines are structurally similar to cyclic nucleotides and have been extensively studied for their ability to interact with cyclic nucleotide phosphodiesterases [1]. Caffeine and theophylline act as competitive inhibitors of cyclic nucleotide phosphodiesterase isozymes in various tissues, including the brain [2]. Their affinity for phosphodiesterases, however, is low, and concentrations in the millimolar range are necessary to attain significant effects [3]. Similarly, millimolar concentrations of caffeine are necessary to mobilize calcium from intracellular stores, an effect mediated via activation of ryanodine-sensitive channels [4, 5]. Studies performed in brain membranes have shown that caffeine inhibits benzodiazepine binding to the  $\gamma$ -aminobutyric acid (GABA)<sub>A</sub> receptor [6] with an  $IC_{50}$  – 50% inhibition concentration – of 350–500  $\mu$ M [7, 8]. Although caffeine has been instrumentally important in the study of cyclic nucleotide phosphodiesterases, ryanodine receptors and GABA receptors [8, 9], its physiological effects cannot be accounted for by its ability to regulate these intracellular targets. In fact, a blood concentration of 500  $\mu$ M caffeine produces lethal intoxication [10], and even after ingestion of three cups of coffee (corresponding to about 300 mg of caffeine), the peak concentration of free caffeine circulating in the plasma does not exceed 30  $\mu$ M [11].

## Caffeine and adenosine transmission

It is now well established that under normal physiological conditions, the effects exerted in the brain by caffeine depend on its ability to act as an antagonist at adenosine receptors [12]. Adenosine is a purine that functions as a general inhibitor of neuronal activity. In spite of its considerable and specific effects produced at the level of the central nervous system [13], adenosine does not fit the criteria normally used to define a neurotransmitter. For instance, adenosine is not accumulated into vesicles, and it is not released from nerve terminals in a calcium-dependent fashion.

## Regulation of adenosine synthesis and release

Adenosine is generated extracellularly as a product of the breakdown of adenine nucleotides, such as ATP. A variety of ecto-nucleotidases dephosphorylate ATP to AMP, which is then converted to adenosine [14]. Synthesis of adenosine occurs also intracellularly, by means of a cytoplasmic 5'-nucleotidase [15] or by hydrolysis of *S*-adenosyl-homocysteine [16]. Intracellular adenosine is converted to AMP by adenosine kinase, or to inosine

by adenosine deaminase. The  $K_m$  of the first reaction (0.2–2  $\mu$ M) approaches the range of the physiological concentration of adenosine, which in rat brain is between 25 and 250 nM [17, 18]. Thus, adenosine kinase plays a prevalent role in regulating the basal levels of intracellular adenosine [19]. In contrast, the reaction in which adenosine deaminase converts adenosine into inosine has a higher  $K_m$  and is especially important in controlling the abnormally elevated levels of adenosine produced during pathophysiological conditions or electrical stimulation (see below; [19, 20]). The extracellular concentration of adenosine is controlled by means of Na<sup>+</sup>-dependent equilibrative transporters, which maintain similar intra- and extracellular concentrations of nucleosides [21–23]. Under normal conditions, the activity of intracellular adenosine kinase is sufficiently high to maintain low levels of adenosine, thereby determining an inward transport of adenosine, which is removed from the extracellular space [17]. However, conditions such as ischemia [24], hypoxia [25] or prolonged electrical stimulation [26] augment energy requirements and stimulate ATP hydrolysis. This, in turn, dramatically raises the intracellular levels of adenosine [27], which is then released in the extracellular space by the nucleoside equilibrative transporters.

## Adenosine receptors

Adenosine is produced ubiquitously, and its neuroactive properties are determined by the presence of specific receptors in discrete regions of the brain. At present, four heptahelical, G-protein-coupled receptors for adenosine have been identified and named A<sub>1</sub>, A<sub>2A</sub>, A<sub>2B</sub> and A<sub>3</sub> receptor [28]. Whereas all four receptors are expressed in the brain, the affinity for adenosine of the A<sub>2B</sub> and A<sub>3</sub> receptors is low, and their basal level of activation is negligible [13, 29, 30]. This implies that under normal physiological conditions, caffeine cannot act via blockade of these receptors. In contrast, adenosine A<sub>1</sub> and A<sub>2A</sub> receptors bind to caffeine with high affinity and are activated by nanomolar concentrations of adenosine, normally present in the brain [17, 18]. It can therefore be concluded that in resting tissues, the effects of caffeine are mediated via blockade of adenosine A<sub>1</sub> and A<sub>2A</sub> receptors.

## Adenosine A<sub>1</sub> and A<sub>2A</sub> receptors: transduction mechanisms and distribution

Initial studies showed that adenosine activated two distinct types of receptors, which exerted opposite biochemical effects: the A<sub>1</sub> type of receptor reduced, whereas the A<sub>2</sub> type of receptor increased, the levels of cyclic AMP (cAMP) [31, 32]. Subsequent studies have shown that A<sub>1</sub>

receptors are coupled to pertussis toxin-sensitive  $G_i$  and  $G_o$  proteins, whose stimulation leads to inhibition of adenylyl cyclase, activation of  $K^+$  channels [33] and inhibition of  $Ca^{2+}$  channels [34]. Adenosine  $A_{2A}$  receptors are instead coupled to  $G_s$  and  $G_{olf}$  proteins [35], which activate adenylyl cyclase.

The pattern of distribution of adenosine  $A_1$  and  $A_{2A}$  receptors in the brain differs strikingly. The  $A_1$  receptor has a widespread distribution, as shown by radioligand binding autoradiography [36] and in situ hybridization [37, 38]. Immunohistochemical analysis demonstrates high levels of  $A_1$  receptors in the hippocampal formation, cerebral cortex, cerebellum and in numerous hypothalamic nuclei [39]. Lower levels of  $A_1$  receptors are found in the basal ganglia, where ~40% of the neurons are labeled in globus pallidus and striatum [39].

At the cellular level, the majority of adenosine  $A_1$  receptors are located on presynaptic nerve terminals, where they mediate the inhibition exerted by adenosine on the release of neurotransmitters [40], including glutamate [41–43], dopamine [44] and acetylcholine [45]. These effects are most likely exerted via cell membrane hyperpolarization caused by activation of G-protein-dependent inwardly rectifying  $K^+$  channels and/or via inhibition of  $Ca^{2+}$  channels [33, 34].

The inhibitory control on neurotransmission exerted by adenosine, via  $A_1$  receptors, is thought to account for the positive effect produced by caffeine on arousal, vigilance and attention. Caffeine is likely to stimulate arousal by blocking the  $A_1$  receptor-mediated inhibition of mesopontine cholinergic projection neurons involved in the regulation of cortical activity [46]. The ability of caffeine and methylxanthines to increase cortical [47, 48] and hippocampal [49, 50] activity has been proposed to mediate their facilitatory action on vigilance and information processing cf. [12]. Recently, in vivo microdialysis studies showed that administration of caffeine stimulates acetylcholine release in the rat prefrontal cortex [51], an effect that also occurs during sustained attention tasks [52, 53]. In contrast to the rather ubiquitous distribution of  $A_1$  receptors, the expression of adenosine  $A_{2A}$  receptors in the brain is limited to regions heavily innervated by dopamine-containing fibers, such as the striatum and the olfactory tubercle [54–58]. In the striatum,  $A_{2A}$  receptors are highly expressed postsynaptically by a large population of medium-sized spiny neurons (cf. below; [58–60]). These cells play a critical role in the functioning of the basal ganglia, a group of nuclei involved in the control of voluntary movements, as well as in motivational, emotional and cognitive aspects of motor behavior. Since one of the major effects of caffeine as a psychostimulant is a prolonged increase in motor activity (see below), the basal ganglia and, particularly, the striatal medium spiny neurons represent an important model to investigate the cellular and molecular mechanism of action of this drug.

## General organization of the basal ganglia and control of motor activity

The basal ganglia form a subcortical station where information coming from limbic, prefrontal, oculomotor and motor cortex is collected, integrated, transferred to ventral tier thalamic nuclei and sent back to the cortex. The corticostriatal pathway is organized in parallel, segregated circuits, so that specific cortical areas innervate subregions of the basal ganglia, which feed back on the same cortical areas, resulting in the execution of selected motor programs [61].

The striatum is the main receiving area of the basal ganglia, and ~95% of all striatal neurons consist of GABAergic medium spiny neurons. These cells receive a glutamatergic excitatory input from the cerebral cortex (see above) and a modulatory input from midbrain dopaminergic neurons [62–64] (fig. 1).

In the dorsal striatum, medium spiny neurons give rise to two major outputs responsible for fine motor control: the direct pathway, which contains GABA and substance P, and projects to the substantia nigra pars reticulata/globus pallidus pars interna (Gpi), and the indirect pathway, which contains GABA and enkephalins, and projects to the substantia nigra pars reticulata/Gpi via globus pallidus pars externa (Gpe; entopeduncular nuclei in rodents) and subthalamic nucleus. These two pathways exert opposing effects on movements by controlling the activity of thalamocortical neurons. Activation of the direct striato-nigral/Gpi pathway disinhibits thalamocortical neurons and facilitates motor activity, whereas activation of the indirect striato-Gpe pathway enhances inhibition on thalamocortical neurons and reduces motor activity [65] (fig. 1).

Increasing evidence indicates that caffeine exerts its motor stimulant effect by acting on striatal medium spiny neurons. In particular, most of the biochemical and behavioral effects of caffeine have been related to the ability of this drug to reduce the inhibition exerted by endogenous adenosine on striatal dopamine transmission. The following sections deal with the involvement of dopamine in the functioning of the basal ganglia and on the interactions between adenosine and dopamine at the level of striatal projection neurons.

## Dopamine and adenosine in the basal ganglia

Dopamine, acting on dopamine  $D_1$  and dopamine  $D_2$  receptors, plays a critical role in the regulation of the activity of striatal medium spiny neurons. Dopamine  $D_1$  receptors are coupled via a  $G_{olf}$  protein to stimulation of adenylyl cyclase and increased production of cAMP [35, 66, 67]. In contrast, dopamine  $D_2$  receptors are coupled, via  $G_i/G_o$  proteins, to inhibition of adenylyl cyclase and reduction of cAMP [66].

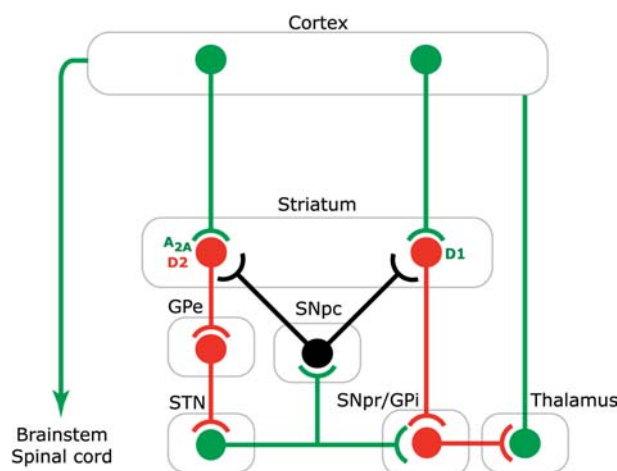


Figure 1. Diagram illustrating the functional organization of the basal ganglia. The striatum receives an excitatory glutamatergic input (green) from cerebral cortex and a modulatory dopaminergic input (black) from the substantia nigra pars compacta (SNpc). GABAergic striatal medium spiny neurons innervate either directly or indirectly [via globus pallidus pars externa (Gpe) and subthalamic nucleus (STN)] the substantia nigra pars reticulata (SNpr)/globus pallidus pars interna (Gpi). Dopamine activates, via  $D_1$  receptors, the direct striato-nigral/Gpi pathway and inhibits, via  $D_2$  receptors, the indirect striato-Gpe pathway. These opposite regulations disinhibit thalamo-cortical glutamatergic neurons and promote motor activity. Adenosine, via  $A_{2A}$  receptors, antagonizes the inhibitory effect of dopamine  $D_2$  receptors on the indirect pathway, thereby depressing motor activity. Caffeine produces its psychomotor stimulant effect by blocking adenosine  $A_{2A}$  receptors. In addition, caffeine may protect SNpr/Gpi dopaminergic neurons from glutamate-induced neurotoxicity via disinhibition of GABAergic Gpe neurons and inhibition of STN neurons (cf. text). Excitatory (glutamatergic) and inhibitory (GABAergic) inputs are shown in green and red, respectively.

It is generally believed that within the dorsal striatum, activation of dopamine  $D_1$  receptors stimulates the neurons of the direct pathway, whereas activation of dopamine  $D_2$  receptors inhibits the neurons of the indirect pathway [65]. Because of the opposite control exerted by direct and indirect pathway on the activity of thalamocortical neurons (i.e. disinhibition and enhancement of inhibition, respectively; cf. fig. 1), the overall effect of dopamine is motor stimulation. A large proportion of the stimulant effects produced by substances such as cocaine and amphetamine are exerted by interfering with the dopamine transport system, thereby increasing the extracellular concentration of dopamine.

Several studies have shown that adenosine  $A_{2A}$  receptors are highly and selectively expressed by the neurons of the indirect, striato-Gpe pathway [58–60]. During the last years it has become clear that most of the psychomotor stimulant effects of caffeine are mediated via regulation of the activity of this particular set of striatal projection neurons.

### Adenosine $A_{2A}$ receptor/dopamine $D_2$ receptor antagonism on striato-Gpe neurons

A large amount of evidence indicates the existence of a complex antagonistic relationship between adenosine  $A_{2A}$  and dopamine  $D_2$  receptors, in striatal projection neurons (cf. fig. 2). Studies performed in striatal membrane preparations show that activation of adenosine  $A_{2A}$  receptors reduces the affinity of dopamine  $D_2$  receptors for agonists [68, 69]. This intramembrane, receptor-receptor interaction has been proposed to play a critical role in the responses elicited by activation of adenosine  $A_{2A}$  receptors [70]. However, the antagonistic relationship between  $A_{2A}$  and  $D_2$  receptors is by no means restricted to the level of the plasma membrane. As mentioned above, activation of  $A_{2A}$  receptors results in  $G_{\text{olf}}$ -dependent stimulation of cAMP production [35, 71], whereas activation of dopamine  $D_2$  receptors decreases the production of cAMP [66]. This leads to opposite regulation of the activity of cAMP-dependent protein kinase (PKA), which, in turn, is involved in the control of the state of phosphorylation and activity of numerous phosphoproteins, including the dopamine and cAMP-regulated phosphoprotein of 32 kDa (DARPP-32), and transcription factors, such as the cAMP-response element binding protein (CREB), which controls the expression of immediate early genes (IEGs) (cf. fig. 2).

The antagonistic interactions described above result in opposite regulation of the activity of striato-Gpe neurons of the indirect pathway, where both  $A_{2A}$  and  $D_2$  receptors are highly expressed. This is clearly indicated by studies showing that the increase in enkephalin messenger RNA (mRNA) (a specific marker indicating activation of striato-Gpe neurons [65]) observed in dopamine  $D_2$  receptor knockout mice is counteracted by concomitant genetic inactivation of adenosine  $A_{2A}$  receptors [72]. The ability of  $A_{2A}$  receptors to enhance the activity of striato-Gpe neurons, thereby opposing the inhibitory action exerted on these cells by dopamine  $D_2$  receptors, is further demonstrated by studies of IEG expression (see below). In addition, neurochemical studies show that the  $A_{2A}$  receptor agonist, CGS 21680, prevents the decrease in GABA release produced, in the globus pallidus, by striatal infusion of a dopamine  $D_2$  receptor agonist [73, 74]. In contrast, blockade of striatal  $A_{2A}$  receptors with theophylline potentiates the dopamine  $D_2$  receptor-mediated decrease in GABA release [74].

Altogether, the above evidence suggests that caffeine stimulates motor activity by counteracting the inhibitory control exerted by adenosine  $A_{2A}$  receptors on striatal dopamine  $D_2$  transmission. This, in turn, would reduce the activity of striato-Gpe neurons and ultimately disinhibit thalamo-cortical projection neurons (figs 1, 2).

It should be noted that the  $A_{2A}$  receptor-mediated regulation of striato-Gpe neurons does not depend completely



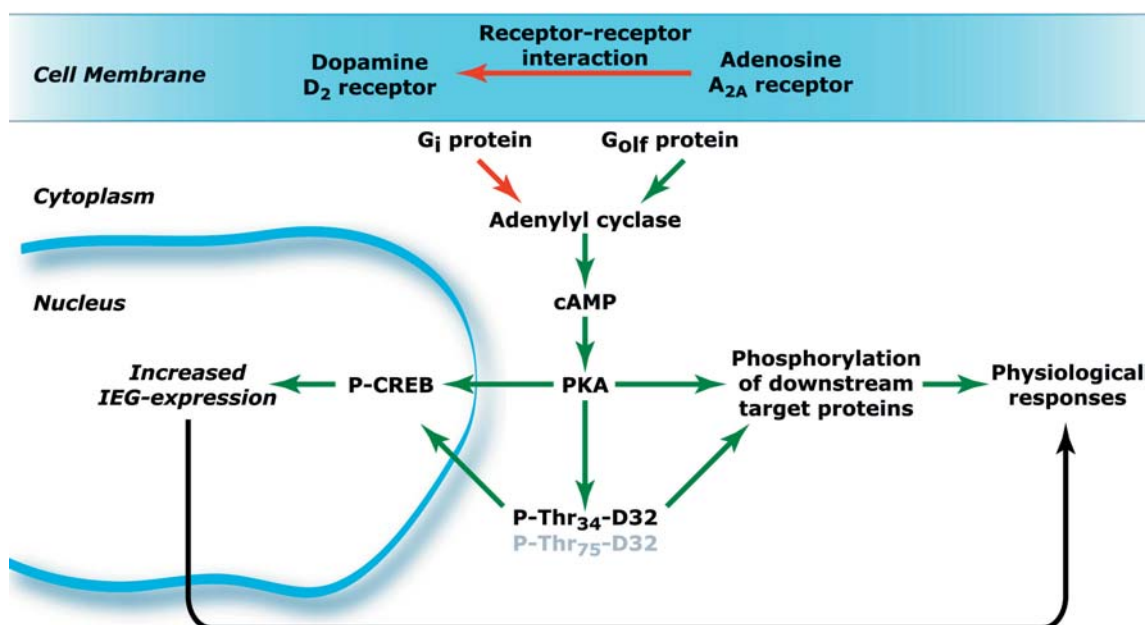


Figure 2. Schematic representation of the antagonistic interactions between adenosine A<sub>2A</sub> and dopamine D<sub>2</sub> receptors, in striato-GPe projection neurons. At the plasma membrane level, stimulation of A<sub>2A</sub> receptors results in decreased affinity of the dopamine D<sub>2</sub> receptor for agonists. At the cytoplasm level, A<sub>2A</sub> receptors stimulate, whereas D<sub>2</sub> receptors inhibit the production of cAMP. This result in opposite regulation of the state of phosphorylation of DARPP-32 and downstream target proteins involved in the control of the activity of striato-GPe neurons. In the nucleus, the opposite regulation of the cAMP/PKA pathway results in opposite regulation of CREB phosphorylation and IEG expression. Green and red arrows indicate positive and negative regulations, respectively.

on their antagonistic relationship with D<sub>2</sub> receptors. Thus, the stimulant effect exerted by caffeine [72] or by selective blockade of A<sub>2A</sub> receptors [75] on motor activity is still present, albeit reduced, in dopamine D<sub>2</sub> receptor-null mice (but see also [76]). The existence of a dopamine-independent component in the action of caffeine and adenosine A<sub>2A</sub> receptor antagonists is further indicated by the observation that blockade of A<sub>2A</sub> receptors stimulates motor activity in various experimental models of dopamine-deficient animals (see below and cf. section on caffeine and Parkinson's disease). It therefore appears that endogenous adenosine, via A<sub>2A</sub> receptors, at least in part promotes striato-GPe neuron transmission in a D<sub>2</sub> receptor-independent fashion.

### Caffeine and motor activity

The ability of caffeine to enhance motor activity in experimental animals is well known [12, 77–79] and has been correlated to its affinity at adenosine receptors [80] and blockade of tonic adenosine transmission [3, 80, 81]. Recently, evidence has been provided indicating that a similar mechanism is involved even in the ability of caffeine to delay fatigue during exercise [82]. Typically, caffeine produces a biphasic stimulation of locomotor activity. In the rat, a peak effect is observed at doses between

15 and 30 mg/kg [83–85], whereas at the dose of 100 mg/kg caffeine is ineffective, or depressant on locomotion [84–86]. A similar biphasic profile, with low doses increasing and high doses decreasing locomotor activity, has been observed in the mouse [87–89].

The locomotor stimulant effect of caffeine has been initially attributed to blockade of adenosine A<sub>1</sub> receptors [80, 90, 91]. These receptors inhibit dopamine release [44], and caffeine has been reported to increase extracellular dopamine in the striatum [92, 93]. However, this effect, which should result in increased locomotion (see above; cf. fig. 1), is elicited by high concentrations (50  $\mu$ M in the perfusion buffer) [92] or doses (30–75 mg/kg) of caffeine [93], which, as mentioned above, do not produce motor stimulation. In a recent study, Solinas et al. [94] have reported that low, but not high, doses of caffeine increase glutamate and dopamine release in the ventral striatum, and have proposed that this regulation mediate the biphasic motor stimulant response to caffeine. This idea, however, has been challenged in another recent report, which shows that caffeine, administered in a similar range of doses, does not affect dopamine release in the ventral striatum [51]. It should also be noted that whereas the ventral striatum is involved in the psychomotor effects of cocaine and amphetamine, caffeine appears to produce its stimulant action independent of this brain region [95–97].

Studies based on the use of selective pharmacological agents and gene targeting have clearly indicated that blockade of  $A_{2A}$ , rather than  $A_1$ , receptors is involved in the stimulant properties of caffeine. Svenningsson et al. [83] showed that in the rat, administration of SCH 58261, an adenosine receptor antagonist with 100-fold selectivity for  $A_{2A}$  over  $A_1$  receptors [98], produced an increase in locomotion comparable to that caused by caffeine. In contrast, administration of 1,3-dipropyl-8-cyclopentylxanthine (DPCPX; a specific  $A_1$  receptor antagonist [99]) did not produce significant changes in locomotor activity [83]. Similar results have been obtained in the mouse [89, 100–102]. Demonstration of the specific involvement of  $A_{2A}$  receptors in caffeine-mediated motor stimulation came from studies performed in adenosine  $A_{2A}$  receptor knockout mice. These animals showed a decrease in locomotion following administration of a dose of caffeine (25 mg/kg) that produced motor stimulation in wild-type mice [103]. The motor depressant effect exerted by caffeine in  $A_{2A}$  receptor-null mice has been proposed to occur via blockade of adenosine  $A_1$  receptors [83, 86], an idea supported by the observation that DPCPX reduces locomotor activity in  $A_{2A}$  receptor knockout mice [89].

The psychomotor stimulant effect of caffeine appears to be, at least in part, dependent on intact dopaminergic transmission. Administration of reserpine, which depletes endogenous monoamines, or  $\alpha$ -methyl-*p*-tyrosine, which blocks the synthesis of catecholamines, prevents the caffeine-induced increase in locomotor activity [79, 104, 105]. Similar results are obtained using dopamine  $D_1$  and  $D_2$  receptor antagonists [106]. The ability of a dopamine  $D_1$  receptor antagonist to counteract the motor stimulant effect of caffeine may seem at first surprising, considering the selective localization of  $A_{2A}$  receptors on striato-GPe neurons, which are mostly devoid of  $D_1$  receptors. However, it should be considered that blockade of dopamine  $D_1$  receptors is sufficient to prevent the increase in locomotion produced by activation of dopamine  $D_2$  receptors [107]. Thus, a dopamine  $D_1$  receptor antagonist should be able to suppress the motor stimulant effect of caffeine, which is for the most part (cf. below) exerted via disinhibition of dopamine  $D_2$  receptors transmission.

The requirement of intact dopaminergic transmission for the psychomotor stimulant action of caffeine is questioned by studies demonstrating the ability of caffeine or a specific adenosine  $A_{2A}$  receptor antagonist to prevent akinesia in reserpinized rodents [108]. Moreover, blockade of adenosine  $A_{2A}$  receptors stimulates motor activity in dopamine-deficient, 1-methyl-4-phenyl-1,2,3,6-tetrahydropyridine (MPTP)-intoxicated mice [109] and monkeys [110] (cf. section on caffeine and Parkinson's disease).

In summary, the psychomotor stimulant effect of low doses of caffeine, which closely match the amount of drug normally ingested in beverages and food, is pro-

duced by antagonism at adenosine  $A_{2A}$  receptors. Higher doses of caffeine are ineffective or induce locomotor depression, most likely acting via blockade of adenosine  $A_1$  receptors. The ability of caffeine to stimulate motor activity via  $A_{2A}$  receptor blockade appears to involve dopamine-dependent, as well as dopamine-independent mechanisms.

### Caffeine effects on striato-GPe neurons: evidence from immediate early gene expression studies

Changes in the expression of IEG, such as *c-fos*,  $\Delta$ *fosB*, *c-jun*, *junB*, *junD*, *arc*, *zif-268* [or nerve growth factor-inducible (NGFI)-A] and NGFI-B, are generally considered as markers of changes in neuronal activity and synaptic transmission. The rapid increase in IEG expression results in activation of late-response genes involved in plastic and pathological processes, and is generally thought to occur in concomitance with increased neuronal activity [111]. Therefore, changes in IEG expression have been extensively utilized as indicators of the ability of drugs to affect specific neuronal circuits [112]. For example, both neuroleptic drugs (e.g. haloperidol), via blockade of dopamine  $D_2$  receptors [113–115], and psychostimulants (e.g. amphetamine and cocaine), via activation of dopamine  $D_1$  receptors [116, 117], are known to induce *c-fos* expression in the striatum.

It is now well established that the biphasic effect produced by caffeine on motor activity is paralleled by biphasic changes in IEG expression at the level of striatal projection neurons. Administration of 25 mg/kg of caffeine, a dose that induces stimulation of motor activity [84–86], reduces the mRNA levels for *zif-268*, NGFI-B and *junB* [86]. In contrast, administration of 100 mg/kg of caffeine, a dose that does not affect locomotion, increases the expression of *c-fos*, *zif-268*, NGFI-B, *junB*, *c-jun* and *arc* [86, 118–120].

Low doses of caffeine decrease IEG expression via blockade of adenosine  $A_{2A}$  receptors. Thus, administration of SCH58261 produces a decrease in *zif-268* and NGFI-B similar to that caused by doses of caffeine ranging from 7.5 to 30 mg/kg [83]. Furthermore, the reduction of *zif-268* produced by low doses of caffeine occurs in striato-GPe neurons [86], which selectively express  $A_{2A}$  receptors [58–60]. In contrast, the stimulation of IEG expression produced by higher, physiologically less relevant, doses of caffeine occurs in both striato-nigral/Gpi and striato-GPe neurons [86, 118, 119]. This effect, which is mimicked by administration of DPCPX [83, 119], has been attributed to blockade of inhibitory presynaptic adenosine  $A_1$  receptors and increase in the release of dopamine, glutamate and acetylcholine [119], which would affect IEG expression in both subpopulations of striatal projection neurons.

The ability of low doses of caffeine to decrease IEG expression via antagonism at adenosine A<sub>2A</sub> receptors is most likely mediated via inhibition of the cAMP/protein kinase A (PKA) pathway. Adenosine A<sub>2A</sub> receptors are positively coupled to adenylyl cyclase (see above), and blockade of their tonic activation by caffeine would reduce cAMP levels. This, in turn, would decrease PKA activity and inhibit the state of phosphorylation and activity of transcription factors, such as CREB [121], which induces IEG expression by interacting with the calcium/cAMP response element [122].

The regulation of IEG expression by caffeine is dependent on the antagonistic interaction between A<sub>2A</sub> and dopamine D<sub>2</sub> receptors. Blockade of D<sub>2</sub> receptors results in increased *c-fos* expression in striato-GPe neurons [114, 115]. Moreover, treatment with reserpine causes an increase in striatal Fos-like immunoreactivity, which is prevented by administration of quinpirole, a dopamine D<sub>2</sub> receptor agonist [123]. Using reserpine-treated mice, Pollack and Fink [124] showed that methylxanthines, such as theophylline and the selective A<sub>2A</sub> receptor antagonist 8-(3-chlorostyryl)caffeine (CSC), potentiate the reduction of *c-fos* expression produced by quinpirole in striato-GPe neurons. In the same experimental model, CSC inhibited D<sub>2</sub> receptor antagonist-induced Fos-like immunoreactivity [125]. These results indicate that blockade of adenosine A<sub>2A</sub> receptors, such as that produced by low doses of caffeine, promotes the inhibition exerted by dopamine D<sub>2</sub> receptors on the activity of striato-GPe neurons.

The behavioral and biochemical evidence presented above indicates that the striato-GPe neurons of the indirect pathway are a crucial anatomical target involved in the psychomotor stimulant effect exerted by caffeine. Administration of low to moderate doses of caffeine is accompanied by reduced IEG expression in these neurons. Such a reduction is an indicator of decreased activity in the indirect pathway, which, in turn, results in disinhibition of thalamocortical neurons and motor stimulation (cf. fig. 1).

Alterations in IEG expression have been crucial in the identification of the neuroanatomical substrates involved in the stimulant effect of caffeine. However, changes in the levels of Fos and other IEG products occur over a period of h and therefore cannot account for the rapid (min) increase in locomotor activity observed following administration of caffeine. During recent years, evidence has been accumulated indicating that the phosphoprotein DARPP-32 plays a critical role in the acute psychomotor stimulant response to caffeine.

#### **DARPP-32 as an amplification system for cAMP/PKA-mediated responses**

DARPP-32 is highly expressed in both striato-GPe and striato-nigral/Gpi neurons [126], where it acts as a modu-

lator of the cAMP/PKA pathway [127, 128]. Phosphorylation catalyzed by PKA at Thr34 converts DARPP-32 into a selective inhibitor of protein phosphatase-1 (PP-1) [129]. Conversely, phosphorylation catalyzed by cyclin-dependent kinase-5 (Cdk-5) at Thr75 converts DARPP-32 into an inhibitor of PKA [130]. Thus, depending on the site of phosphorylation, DARPP-32 is able to produce opposing biochemical effects (i.e. inhibition of protein phosphatase activity or inhibition of protein kinase activity) (fig. 3).

The state of phosphorylation of Thr34 and Thr75 appears to be reciprocally regulated. An increase in Thr75 phosphorylation results in decreased phosphorylation at Thr34, via inhibition of PKA [130, 131]. Conversely, stimuli that lead to activation of PKA, and increased phosphorylation at Thr34, produce a concomitant decrease in Thr75 phosphorylation [131]. This latter effect is most likely dependent on the ability of PKA to phosphorylate and activate protein phosphatase-2A (PP-2A) [132, 133], which is responsible for dephosphorylation of DARPP-32 at Thr75 [130, 131] (fig. 3).

Responses to stimuli that activate the cAMP/PKA pathway are strongly amplified by concomitant changes in the state of phosphorylation of DARPP-32 at Thr34 and Thr75. Increased phosphorylation at Thr34 amplifies the effects of PKA by reducing dephosphorylation of downstream target proteins, through inhibition of PP-1. In addition, decreased phosphorylation at Thr75 promotes activation of the cAMP/PKA pathway by reducing the inhibition exerted by phospho[Thr75]DARPP-32 on PKA [130] (fig. 3).

DARPP-32 plays a critical role in the functioning of the basal ganglia, as illustrated by its involvement in striatal dopaminergic transmission. Activation of dopamine D<sub>1</sub> receptors results in G<sub>oif</sub>-mediated stimulation of PKA, which increases phosphorylation of DARPP-32 at Thr34 [134, 135], and decreases phosphorylation at Thr75 [131]. This regulation of DARPP-32 promotes dopamine D<sub>1</sub> receptor-mediated phosphorylation of downstream target proteins critically involved in the control of the state of excitability of striatal projection neurons, including voltage-dependent calcium channels [136], glutamate NMDA [137] and AMPA [138] receptors, and GABA<sub>A</sub> receptors [139]. The positive feedback on protein phosphorylation provided by DARPP-32 appears to be critical for eliciting full behavioral responses. Thus, the hyperlocomotor effect of cocaine, a drug which increases Thr34 [140, 141], and decreases Thr75 [140], phosphorylation via stimulation of dopamine D<sub>1</sub> receptors [141], is strongly attenuated in DARPP-32-deficient mice [128].

#### **DARPP-32, adenosine transmission and caffeine**

Stimulation of striatal slices with the A<sub>2A</sub> receptor agonist, CGS 21680, results in G<sub>oif</sub>-dependent activation of

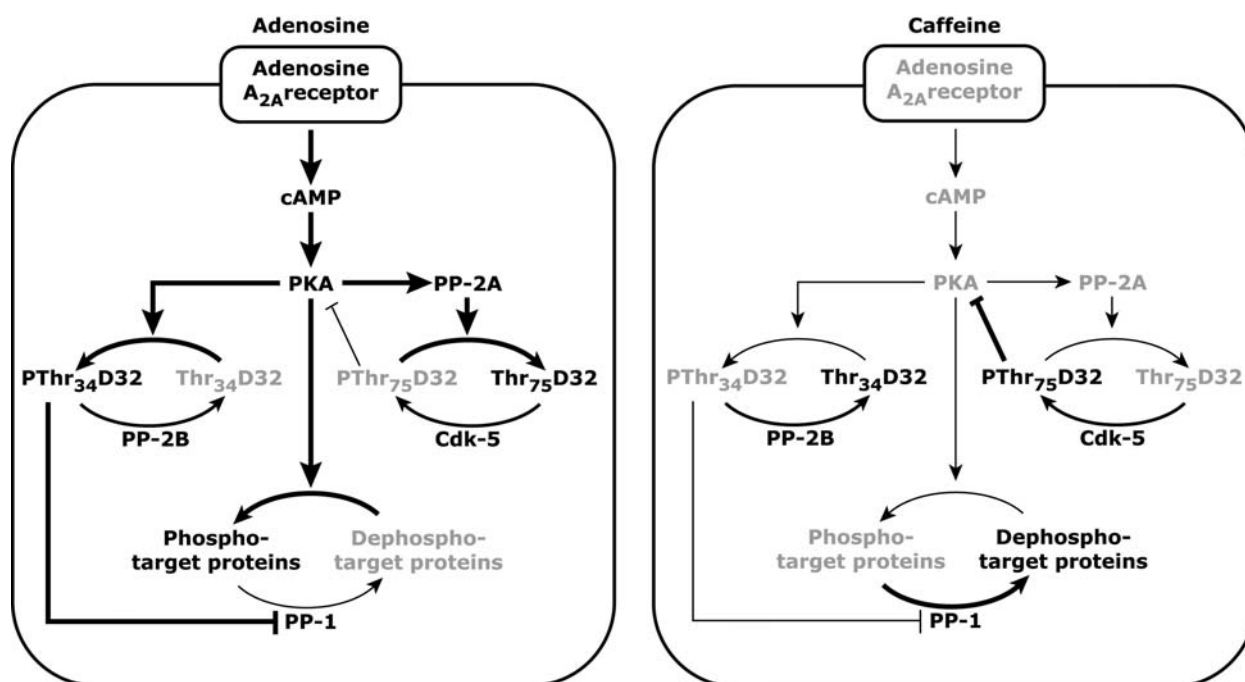


Figure 3. Schematic representation of the regulation of DARPP-32 by adenosine and caffeine. *Left panel:* Adenosine, via  $A_{2A}$  receptors, stimulates adenyl cyclase and increases the production of cAMP. Activation of PKA results in phosphorylation of Thr34 of DARPP-32 (D32), which is converted into an inhibitor of PP-1. PKA also phosphorylates and activates PP-2A, thereby hastening the dephosphorylation of DARPP-32 at Thr75 and reducing the inhibition exerted by phosphoThr75-DARPP-32 on PKA. These effects intensify the phosphorylation of downstream target proteins produced by adenosine via activation of the cAMP/PKA cascade. *Right panel:* Caffeine, via blockade of  $A_{2A}$  receptors, reduces the production of cAMP and decreases the activity of PKA. This, in turn, results in diminished phosphorylation of DARPP-32 at Thr34 and increased phosphorylation at Thr75. By further reducing the activity of PKA, phosphoThr75-DARPP-32 provides a positive feedback mechanism able to amplify the inhibition of the cAMP/PKA pathway. Thicker arrows and bars indicate higher activity or levels. PP-2B, protein phosphatase-2B.

adenyl cyclase [35], increased cAMP levels and PKA-mediated phosphorylation of DARPP-32 at Thr34 [135]. These effects most likely occur in striato-Gpe neurons [135], where CGS 21680 also reduces the phosphorylation of DARPP-32 at Thr75 [102]. The state of phosphorylation of DARPP-32 in striato-Gpe neurons appears to be determined by the combined tonic activation of adenosine  $A_{2A}$  and dopamine  $D_2$  receptors, which stimulate and inhibit the production of cAMP, respectively. Thus, blockade of  $D_2$  receptors, achieved with the selective antagonist eticlopride results in increased phosphorylation of DARPP-32 at Thr34, through disinhibition of PKA activity. Furthermore, the effect of eticlopride on DARPP-32 phosphorylation is prevented in adenosine  $A_{2A}$  receptor-null mice [141].

The importance of changes in DARPP-32 phosphorylation for adenosine transmission has been demonstrated by studies performed using DARPP-32 knockout mice. In these animals, the motor depressant effect produced by administration of CGS 21680 [142] is significantly attenuated [102].

Recent evidence shows that caffeine produces a prolonged ( $\geq 2$  h) and dose-dependent increase in the state of phosphorylation of DARPP-32 at Thr75. The peak effect

of caffeine is reached at the dose of 7.5 mg/kg, which also produces a sustained increase in motor activity [102]. The ability of caffeine to increase DARPP-32 phosphorylation at Thr75 is most likely mediated via blockade of tonically activated adenosine  $A_{2A}$  receptors, since administration of the selective  $A_{2A}$  receptor antagonist, SCH 58261, also increases the levels of phospho [Thr75] DARPP-32. Furthermore, caffeine appears to increase DARPP-32 phosphorylation at Thr75 via inhibition of PP-2A activity, rather than via activation of Cdk-5 [102]. The increase in locomotor activity produced in wild-type mice by a low dose (7.5 mg/kg) of caffeine is strongly attenuated in mice lacking DARPP-32. Similar results are obtained following administration of SCH 58261, which also increases motor activity [102]. Thus, the  $A_{2A}$  receptor-dependent increase in DARPP-32 phosphorylation at Thr75 produced by caffeine appears to be critically involved in its stimulant action.

Based on these results, a molecular mechanism responsible for the psychomotor stimulant properties of caffeine has been proposed [102]. According to this mechanism, caffeine would increase motor activity by blocking adenosine  $A_{2A}$  receptors and reducing tonic activation of the cAMP/PKA pathway in striato-Gpe neurons. Such a



caffeine-mediated inhibition of the cAMP/PKA pathway would reduce phosphorylation of downstream target proteins, thereby affecting the activity of striato-GPe neurons and ultimately enhancing locomotion. The parallel increase in Thr75 phosphorylation would convert DARPP-32 into an inhibitor of PKA, further reducing phosphorylation of target proteins and amplifying the effect of caffeine (cf. fig. 3).

Studies performed in DARPP-32-null mice show that DARPP-32 prolongs the motor stimulant effect of 7.5 mg/kg of caffeine, but does not affect the response to a higher dose (15 mg/kg) of the drug. In addition, DARPP-32 is not involved in the initial increase in motor activity produced by caffeine, but rather intensifies the late effect of the drug, as its concentration diminishes. These observations indicate that the positive feedback loop provided by DARPP-32 assumes physiological relevance only in association with submaximal inhibitions of the cAMP/PKA pathway, produced by relatively low concentrations of caffeine. When the cAMP/PKA pathway is strongly inhibited by high concentrations of caffeine, the additional reduction of PKA activity provided by phospho [Thr75] DARPP-32 becomes superfluous.

### Caffeine and Parkinson's disease

Parkinson's disease is the second most frequent neurodegenerative disorder in people older than 45 years. The cardinal symptoms of Parkinson's disease arise from the degeneration of dopaminergic nigrostriatal neurons of the basal ganglia and consist of a series of motor disturbances ranging from resting, tremor and rigidity, to akinesia, bradykinesia and postural instability. The current therapy for Parkinson's disease relies on substitution treatment with the dopamine precursor, levodopa, which in the initial phases of the disease effectively reduces the motor symptoms. Unfortunately, the therapeutic effects of levodopa wane with time, and prolonged use of this drug is accompanied by the appearance of abnormal involuntary movements, generally referred to as dyskinesia.

The lack of dopaminergic input to the medium spiny neurons occurring in Parkinson's disease is associated with decreased activity of the striato-nigral/Gpi neurons of the direct pathway, as indicated by reduced expression of preprotachykinin in these cells [65]. In contrast, the expression of mRNA for preproenkephalin, a selective marker for striato-GPe neurons [65], is increased in Parkinsonian patients [143, 144], as well as in experimental animals treated with 6-hydroxydopamine (6-OHDA) [145] or 1-methyl-4-phenyl-1,2,3,6-tetrahydropyridine (MPTP) [146], two toxins that cause a selective disruption of dopaminergic transmission. These changes in the activity of striatal projection pathways are thought to result in the motor dysfunctions typical of Parkinson's disease [65].

Administration of levodopa, or dopamine receptor agonists, such as apomorphine, to rats lesioned unilaterally with 6-OHDA produces a particular form of motor activity consisting in rotations (turning behavior) oriented toward the side contralateral to the lesion [147]. This response, which is attributed to the development of supersensitive dopamine transmission in the lesioned side, is regarded as a measure of the antiparkinsonian properties of a drug [148].

Administration of caffeine to 6-OHDA-lesioned rats produces contralateral turning behavior and potentiates turning behavior induced by dopaminomimetic drugs, including levodopa and apomorphine [149–154]. The mechanism by which caffeine induces motor activity (i.e. contralateral turning behavior) in the 6-OHDA lesion model of Parkinson's disease differs from the stimulant effect produced on spontaneous locomotion. For instance, whereas both D<sub>1</sub> and D<sub>2</sub> receptor antagonists are able to prevent the locomotor stimulation induced by caffeine in naive rats [84], only dopamine D<sub>2</sub>, but not D<sub>1</sub>, receptor antagonists block the contralateral turning behavior induced by caffeine in 6-OHDA-lesioned rats [152, 154]. This difference may be due to the functional uncoupling between D<sub>1</sub> and D<sub>2</sub> receptors observed in animal models of Parkinson's disease and in parkinsonian patients cf. [155]. In this pathological situation, dopamine D<sub>1</sub> antagonists lose their ability to prevent the motor stimulant effects produced by D<sub>2</sub> receptor agonists. It is therefore possible that in 6-OHDA-lesioned rats, the inability of dopamine D<sub>1</sub> antagonists to block the stimulant effect of caffeine, which acts by promoting dopamine D<sub>2</sub> receptor-mediated transmission, is a consequence of such a loss of 'cross-antagonism' [155]. In contrast, and in spite of the functional uncoupling, blockade of dopamine D<sub>2</sub> receptors is still able to prevent the motor activation induced by caffeine because of the direct functional interaction between D<sub>2</sub> and A<sub>2A</sub> receptors, which are highly coexpressed on striato-GPe neurons.

The ability of caffeine to potentiate levodopa-induced contralateral turning in 6-OHDA-lesioned rats is shared by selective adenosine A<sub>2A</sub> receptor antagonists [156–158], which are therefore regarded as possible antiparkinsonian drugs [142, 159]. One of these compounds, KW-6002, alleviates parkinsonian symptoms in monkeys treated with MPTP and potentiates the therapeutic efficacy of low-dose levodopa and dopamine receptor agonists [110, 160, 161]. The potential therapeutic efficacy of adenosine A<sub>2A</sub> receptor antagonists is further demonstrated by studies showing that the motor impairment caused by genetic inactivation of the dopamine D<sub>2</sub> receptor is counteracted by administration of KW-6002 [75]. In addition, caffeine enhances locomotion in mice made dopamine deficient by inactivating the gene coding for tyrosine hydroxylase, the rate-limiting enzyme in the synthesis of catecholamines [162].

Studies performed in MPTP-treated monkeys show that the anti-parkinsonian effect of KW-6002, administered alone or together with dopaminomimetic drugs, is not accompanied by dyskinesia, even after several days of administration [110, 160, 161]. These results suggest that combined treatment with levodopa and adenosine  $A_{2A}$  receptor antagonists improves the symptoms of Parkinson's disease without causing dyskinesia. In support of this idea, it has been reported that in hemiparkinsonian rats, the  $A_{2A}$  receptor antagonist CSC prevents levodopa-induced behavioral sensitization, which is considered an indicator of dyskinesia [163]. Lack of behavioral sensitization is also observed following chronic administration of levodopa to  $A_{2A}$  knockout mice unilaterally lesioned with 6-OHDA [164]. In addition, coadministration of caffeine reduces the hyperlocomotor effect produced by levodopa in genetically altered, dopamine-deficient mice [162]. The idea that adenosine  $A_{2A}$  receptor antagonists possess antidyskinetic properties has been recently challenged by Lundblad et al. [165]. Utilizing a more specific approach to the quantification of levodopa-induced abnormal involuntary movements [166–168], these authors show that coadministration of an adenosine  $A_{2A}$  receptor antagonist does not prevent the dyskinetic effect caused by therapeutic doses of levodopa given to severely denervated rats.

In conclusion, blockade of adenosine  $A_{2A}$  receptors with caffeine or with selective antagonists improves the symptoms of Parkinson's disease in animal models and potentiates the therapeutic efficacy of dopaminomimetic medications. This latter effect may help to reduce the dosage of levodopa and indirectly diminish the incidence of 'peak-dose' levodopa-induced dyskinesia, which currently represents one of the major problems in the pharmacotherapy of Parkinson's disease. Whereas initial clinical trials did not report any significant improvement following administration of caffeine to parkinsonian patients [169, 170], more recent work indicates the potential therapeutic value of theophylline [171]. It should be noted, however, that methylxanthines, although potentially useful to correct for the psychomotor symptoms of Parkinson's disease, could have negative effects in patients because of their anxiogenic properties and their adverse cardiovascular effects. In this regard, the use of specific adenosine  $A_{2A}$  receptor antagonist may be more appropriate, as these drugs do not induce anxiety [172]. More studies will be necessary to establish the efficacy and suitability of adenosine  $A_{2A}$  receptor blockade in the treatment of Parkinson's disease.

### Caffeine and neuroprotection

Clinical studies have established a positive correlation between dietary caffeine consumption and reduced risk of

Parkinson's disease [173, 174]. In agreement with these observations, caffeine has been shown to reduce the neurotoxic effect exerted by MPTP on dopaminergic neurons [175]. The mechanisms underlying the neuroprotective action of caffeine are not completely understood; however, blockade of  $A_{2A}$  receptors appears to be involved, since selective  $A_{2A}$  receptor antagonists, but not  $A_1$  receptor antagonists, reduce both MPTP [175] and 6-OHDA [176] induced neurodegeneration. In addition, blockade of  $A_{2A}$  receptors has been shown to exert neuroprotective action during excitotoxicity and cerebral ischemia [177–180]. It has been proposed that caffeine may protect dopaminergic cells by reducing glutamate excitotoxicity. This action could be exerted via a polysynaptic circuit leading to inhibition of the subthalamic nucleus (cf. fig. 1) [175], a region that sends a glutamatergic input to the substantia nigra pars compacta and that has been proposed as a target for neuroprotective therapies [181].

### Concluding remarks and future perspectives

Several lines of evidence indicate that the psychomotor stimulant effect of caffeine is exerted by modulating the state of excitability of striatal medium spiny neurons, via blockade of adenosine  $A_{2A}$  receptors. Although caffeine acts, at least in part, by facilitating dopamine  $D_2$  receptor transmission, its mechanism of action appears to be substantially different from that of 'dopaminomimetic' psychostimulants, such as cocaine and amphetamine.

Caffeine acts on the indirect striato-Gpe pathway, whereas cocaine and amphetamine affect the direct, striato-nigral/Gpi pathway (fig. 4). In addition, and in contrast with cocaine and amphetamine, caffeine does not influence dopamine release in the ventral striatum [51], and its psychostimulant effect is independent of this brain region [95–97] (but see [94]). In fact, the stimulant effects of caffeine and cocaine are additive [182, 183].

The motor stimulant effect of caffeine is accompanied by changes in IEG expression and DARPP-32 phosphorylation opposite to those caused by cocaine (and amphetamine) (fig. 4), which also increase motor activity. This apparent discrepancy can be reconciled by considering that the striato-Gpe indirect pathway, which is inhibited by caffeine, and the striato-nigral/Gpi direct pathway, which is activated by cocaine, regulate motor activity in opposite ways (cf. fig. 1). The ability of DARPP-32 to intensify the behavioral effects of cocaine and caffeine indicate that this phosphoprotein functions as a bidirectional modulator, able to amplify responses elicited by activation as well as inhibition of the cAMP/PKA cascade. One important question to be addressed in future studies is the identification of the downstream target proteins responsible for regulation of the activity of striato-Gpe neurons exerted by caffeine. The involvement of the DARPP-

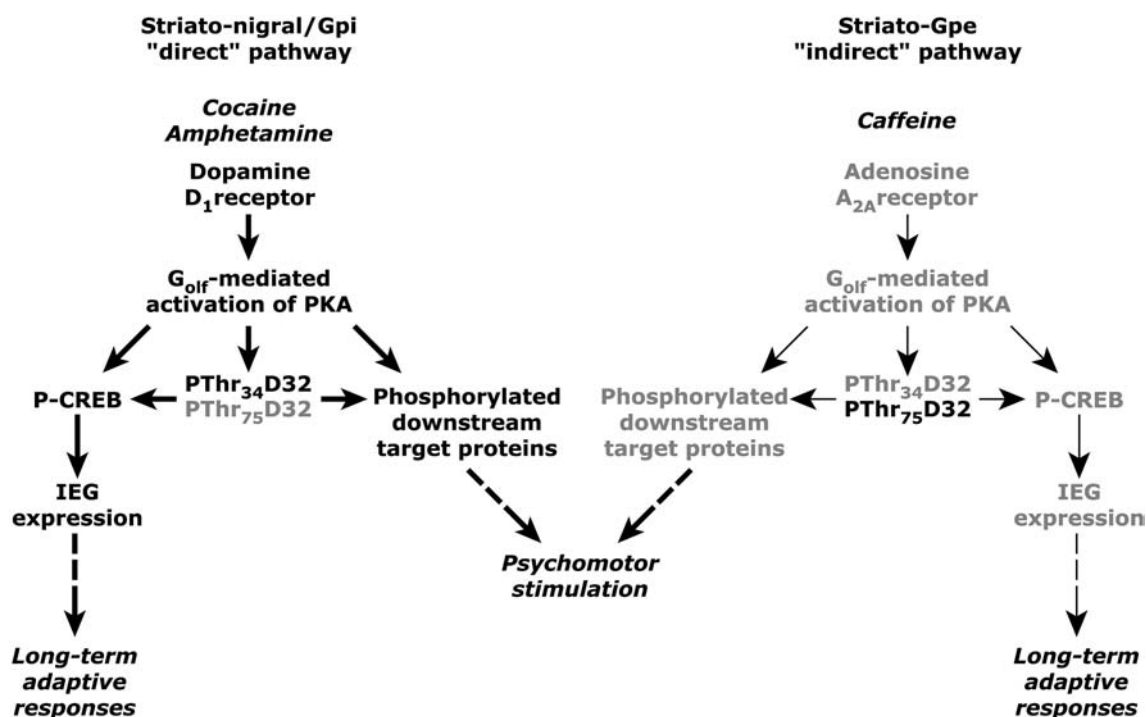


Figure 4. Diagram illustrating the effects of caffeine, and cocaine and amphetamine on striatal projection neurons. Caffeine reduces the activity of the cAMP/PKA pathway in the striato-GPe indirect pathway, via blockade of adenosine  $A_{2A}$  receptors. In contrast, cocaine stimulates the cAMP/PKA pathway in the striato-nigral/Gpi pathway by increasing extracellular dopamine and activating dopamine  $D_1$  receptors. Caffeine and cocaine regulate in an opposite way the state of phosphorylation of DARPP-32 (D32), which in turn amplifies their biochemical and behavioral effects (cf. fig. 3 and text). Thicker arrows and bars and black color indicate higher activity or levels.

32/PKA/PP-1 pathway in dopamine  $D_1$  receptor-mediated regulation of glutamate and GABA receptors has been previously demonstrated [137–139]. These regulations are most likely involved in the activation of striato-nigral/Gpi neurons, since  $D_1$  receptors stimulate IEG expression specifically in these cells [116, 117]. It is possible that caffeine, via stimulation of DARPP-32 phosphorylation at Thr75, regulates a similar set of downstream target proteins in an opposite way, thereby depressing the activity of striato-GPe neurons.

Caffeine at low doses induces place conditioning [182, 184], and tolerance to its locomotor stimulant effect is observed after repeated administration [12, 87, 152, 185]. However, caffeine has a limited ability to promote self-administration compared with cocaine, amphetamine and other drugs of abuse cf. [186] and is not listed among addictive substances in the *Diagnostic and statistical manual of mental disorders* (4th ed.) [187]. Nevertheless, dependence on caffeine is currently a matter of discussion, and caffeine withdrawal symptoms including headaches, irritability, drowsiness and fatigue have been documented [78, 186, 188]. Caffeine tolerance is accompanied by changes in IEG expression and adenosine receptors [189]. Interestingly, prolonged administration of an adenosine  $A_{2A}$  receptor antagonist does not induce tolerance to its motor stimulant effect [190], raising the possibility that

caffeine tolerance is dependent on blockade of  $A_1$ , rather than  $A_{2A}$ , receptors. Future studies will be necessary to examine the possible involvement of DARPP-32 and other intracellular signaling molecules in the adaptive responses produced by long-term exposure caffeine.

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